



## Appendix 1: Schedule of pledged Mortgages

List Mortgages in Freddie Mac loan number order. If additional space is needed, please check box 1 below and indicate the number of additional pages attached. The Appendix 1 attachments must be clearly identified by Freddie Mac Seller/Servicer number and contract/conversion number and attached to this form.

Copies of applicable Freddie Mac Mortgage submission forms may be used in lieu of the appendix to identify pledged Mortgages. If submission forms are used, check box 2 below and attach the forms to this form.

- 1 Number of additional appendix pages attached: \_\_\_\_\_
- 2 Mortgage submission forms attached

Freddie Mac loan number	Borrower name and property address	Unpaid principal balance

### Instructions for Form 996M

The Seller must complete the following items. For assistance, please call your Freddie Mac Mortgage Purchase Representative.

#### SELLER IDENTIFICATION

**Seller/Servicer number:** Seller/Servicer number assigned by Freddie Mac.

**Contract/conversion number:** Freddie Mac contract number from the master commitment conversion contract, as applicable.

**UPB of this delivery:** Unpaid principal balance of this delivery.

**Warehouse lender name:** Full legal name of warehouse lender.

**Address:** Street address of warehouse lender.

**City, state, and zip:** City, state, and zip code of warehouse lender.

**Warehouse lender contact:** Indicate the name of the individual at the warehouse lender who is authorized to confirm any and all instructions pertaining to this authorization.

**Telephone number:** Telephone number, including area code, of contact at the warehouse lender.

#### SECURITY WIRE INSTRUCTIONS—Guarantor warehouse delivery

##### Depository Institution/Type of account/Beneficiary/Account number/Attention

**Depository Institution:** Enter the exact Federal Reserve telegraphic abbreviation of the depository institution. The depository institution is a bank or other institution eligible to receive book-entry securities. Contact the depository institution if the exact telegraphic abbreviation is not known.

**Type of account:** Enter, for example, CUST, INV, GEN, DEALER, or TRUST. Contact the depository institution to determine which account type is applicable and/or to obtain the correct account type.

**Beneficiary account name:** Enter the beneficiary account name at the depository institution. For example, if the security is to be delivered to the Seller/Servicer's account, the Seller/Servicer's name would appear under beneficiary account name. If the security is to be delivered to a broker/dealer's account, the broker/dealer's name would appear under beneficiary account name. Contact the depository institution to obtain the correct account name.

**Account number:** Enter the account number of the beneficiary at the depository institution. Contact the depository institution to obtain the correct account number.

**Attention:** Enter the specific person, department, or section, if applicable, at the depository institution that should be notified of the receipt of securities. Contact the depository institution for this information.

**For example:** TR BK NYC/CUST/FIRST FED SLA/99999/ATTN John Smith

(This security is to be delivered to Trust Bank of New York in custody for First Federal Savings and Loan Association. The account number is 99999. John Smith is the contact at Trust Bank.)

**ABA number:** Enter the American Banking Association's (ABA's) routing number of the depository institution. Contact the depository institution for this information.

#### WIRE TRANSFER INSTRUCTIONS—Cash warehouse delivery

**THREE-PARTY TRANSFERS:** A three-party transfer involves a transfer of funds in which a correspondent bank must be credited first in order for the funds to be applied to the beneficiary's bank (for example, a transfer of funds to the Federal Home Loan Bank of Pittsburgh for credit to ABA Bank for credit to XYZ Mortgage Company).

**TWO-PARTY TRANSFERS:** A two-party transfer involves a transfer of funds directly routed to the beneficiary's bank (for example, a transfer of funds to ABC Bank for further credit to XYZ Mortgage Company).

**Transfer bank's name:** Full legal name and location (city, state, and zip code) of the bank where funds are to be initially transferred for further credit to the beneficiary's bank.

**ABA number:** American Banking Association's nine-digit routing number of the depository institution, that is, the transfer bank. This number must be entered to ensure the transfer of funds.

**Beneficiary's bank name:** Full legal name and location (city, state, and zip code) of the bank receiving the funds for the beneficiary.

**Beneficiary's bank ABA number:** ABA's nine-digit routing number of the depository institution, that is, the beneficiary's bank. This number must be entered to ensure the transfer of funds (**TWO-PARTY TRANSFERS only**).

**Beneficiary's account number at transfer bank:** Account number that the beneficiary's bank has opened for receipt of funds at the transfer bank (**THREE-PARTY TRANSFERS only**).

**Beneficiary's name:** Full legal name of the final party to be credited as a result of the transfer of funds.

**Beneficiary's account number:** Final account number of the beneficiary where the funds are to be credited.

**Mailing instructions:** Mail original Form 996M to the Applicable Freddie Mac Multifamily Regional Office